

**SPFL SECURITIES LIMITED** CIN: U74899DL1995PLC069581 | GSTIN (UP): 09AABCS2452C1ZP Member: NSE, BSE, • DP (CDSL) DP ID: 12061200 | SEBI REGN. NO.: IN-DP-CDSL-515-2009 DP (NSDL) DP ID: IN300556 | SEBI REGN. NO.: IN-DP-NSDL-55-98  
**Registered Office: A-1662**, Street No. 15, G.F. Govind Puri, Kalka Ji, New Delhi-110019 Ph.: 011-23270163 Fax: 011-23270163 • E-mail: info@spfl.co.in  
**Corporate Office:** 15/63-L, Civil Lines, Kanpur-208001 • Ph.: 9052922999  
Website: www.spfl.co.in

**SPFL Securities Ltd (Applicable wef 1<sup>st</sup> April, 2024)**

Particular	Tariff
Account Opening	<b>NIL</b>
Documentation (Agreement)	Actual as applicable from State to State
Individual A.M.C.	*Rs.100/- Quarterly
Corporate A.M.C.	*Rs.250/- Quarterly + Depository Charges Rs.500/- P.A.
Deposit for Lifetime AMC (refundable)	*3500/- (Refundable after deducting A/c Closing year AMC)
Transaction Charges (sale for DR Instructions market Trade)	*For POA A/c min. Rs.15/- Per Transaction *Non POA (In our CM) Rs.100/- Per transaction *For Other Cm .05% Min Rs 100/- whichever is higher
Sale for Off Market	Min. 100/- or 0.05% whichever is higher.
Demat Charges	Rs.50/-per certificate + Rs. 100/- mailing Charges (Same mailing charges will also applicable in demat rejection)
Remat Charges	A fees of Rs. 50 for every hundred securities or part there of subject to maximum fee Rs. 5,00,000 or a flat fees of Rs. 50 per certificate, whichever is higher.+ Rs.100 mailing Charges.
Pledge (Creation / Confirmation)	Min. 100/- or .05% whichever is higher.
Margin Pledge (Creation / Confirmation)	Rs.40/- Per Creation
Margin Pledge Release	Rs.40/- Per Release
Client Account Updation	Rs. 100/- Per Modification
Kyc Updation	Rs. 100/- Per Updation
Failed Transaction Charges	Rs.25/- Per Transaction
Re-issuance Of DIS Booklet	100/- Per Book
Late Transaction charges	Rs.100/-Per transaction
GST & Govt. Levies	As Per Applicable

**NOTES ON TARIFF**

Annual Maintenance charge shall be deductible in the quarterly ratio in respect of all the Schemes on the Accounts opening during financial year.

1. No A.M.C. would be charged on the accounts under Lifetime A.M.C. option. The amount may be withdrawn at any point of time during the operation of the accounts on closure. The amount would be refunded after deducting A.M.C. for the corresponding Financial Year.
2. Trade Instructions would be accepted against payment in cash for the accounts under without POA Scheme The transaction for the accounts under POA Scheme would be collected monthwise.
3. All instructions for transfer shall be received from the clients under without POA scheme up to 4:00 p.m. on T day for pay- in securities. Late instructions would be accepted at the Client's sole risk and responsibility.
4. Late charges would be collected for all instructions received after 4:00 p.m. for same day execution or execution date as pay-in-date.
5. The charges are subject to revision at the DP's sole discretion and as per revision by Depositories with a notice period of 30days.
6. The selection of scheme will be on mutual consent of beneficiary owner and DP.
7. Annual Maintenance charge is payable in advance on 1<sup>st</sup> April every year, wherever applicable.
8. Any advance payment over and above the normal amount due may also be adjusted against the bills Raised from time to time.
9. Delayed Payment charges applicable upto 13% p.a.
10. All taxes/levies/cess as applicable.

**: Annual Maintenance Charge for BSDA Clients:**

- (a) Shall be Free up to the value of investment in the account is less or equal to Rs.50,000/-
- (b) Shall be charges Rs. 100/- per F. Y. up to the value of investment in the account exceeds 50,000/- and less to Rs. 2,00000/-
- (c) Shall be charged Rs, 400/- per F.Y. for all accounts if the value of investment in the exceeds Rs. 2,00,001. And the account shall be treated as normal account of the investor.
- (d) Transaction charges 1% value of the shares.

**Signature:**

**Sole.FirstHolder** \_\_\_\_\_ **SecondHolder** \_\_\_\_\_ **ThirdHolder** \_\_\_\_\_